

JC20 Rec'd PCT/PTO 26 FEB 2002

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 450101-03264
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5) 10/070034
INTERNATIONAL APPLICATION NO. PCT/JP01/05725	INTERNATIONAL FILING DATE 2 July 2001	PRIORITY APPLN. NO. P2000-200369 PRIORITY DATE CLAIMED 30 June 2000	
TITLE OF INVENTION INFORMATION RECORDING APPARATUS AND METHOD, AND INFORMATION RECORDING SYSTEM			
APPLICANT(S) FOR DO/EO/US Noboru YANAGITA and Minoru KAWAHARA			
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: PCT/RO/101, PCT/IB/301, 304, 308, PCT/ISA/210, 59 sheets of drawings, 1 page Abstract, Ref. For IDS</p>			
		<p align="center">EXPRESS MAIL</p> <p>Mailing Label Number: EV073698897US</p> <p>Date of Deposit February 26, 2002</p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service</p> <p>"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.</p> <p><i>Charles J. Jackson</i> (Typed or printed name of person mailing paper or fee)</p> <p><i>Charles J. Jackson</i> (Signature of person mailing paper or fee)</p>	

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <div style="font-size: 24pt; font-weight: bold; margin-top: 5px;">10/070034</div>	INTERNATIONAL APPLICATION NO PCT/JP01/05725	ATTORNEY'S DOCKET NO 450101-03264
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21. <input checked="" type="checkbox"/> The following fees are submitted	CALCULATIONS PTO USE ONLY																				
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040 00 International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890 00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740 00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100 00	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00 </div>																				
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<div style="border: 1px solid black; padding: 5px;"> \$ </div>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;"><u>12</u> - 20 =</td> <td style="text-align: center;"><u>0</u></td> <td>x \$18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;"><u>6</u> - 3 =</td> <td style="text-align: center;"><u>3</u></td> <td>x \$84.00</td> <td>\$ 252.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total Claims	<u>12</u> - 20 =	<u>0</u>	x \$18.00	\$	Independent Claims	<u>6</u> - 3 =	<u>3</u>	x \$84.00	\$ 252.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	<div style="border: 1px solid black; padding: 5px;"> \$ </div>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																		
Total Claims	<u>12</u> - 20 =	<u>0</u>	x \$18.00	\$																	
Independent Claims	<u>6</u> - 3 =	<u>3</u>	x \$84.00	\$ 252.00																	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00																	
TOTAL OF ABOVE CALCULATIONS =	\$1,142.00																				
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.	<div style="border: 1px solid black; padding: 5px;"> \$ </div>																				
SUBTOTAL =	\$1,142.00																				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<div style="border: 1px solid black; padding: 5px;"> \$ </div>																				
TOTAL NATIONAL FEE =	\$																				
Fee for recording the enclosed assignments (37 CFR 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property	<div style="border: 1px solid black; padding: 5px;"> \$ </div>																				
TOTAL FEES ENCLOSED =	\$1,142.00																				
	Amount to be refunded: \$																				
	charged: \$																				

a. <input checked="" type="checkbox"/> A check in the amount of \$1,142.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0320 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.
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SEND ALL CORRESPONDENCE TO: William S. Frommer, Esq. Frommer Lawrence & Haug LLP 745 Fifth Avenue New York, New York 10151	<div style="text-align: center;"> <div style="border-top: 1px solid black; width: 100%;"></div> SIGNATURE </div> <div style="text-align: center; margin-top: 10px;"> William S. Frommer <div style="border-top: 1px solid black; width: 100%;"></div> NAME </div> <div style="text-align: center; margin-top: 10px;"> 25,506 <div style="border-top: 1px solid black; width: 100%;"></div> REGISTRATION NUMBER </div>
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Dated: February 26, 2002	25,506 <div style="border-top: 1px solid black; width: 100%;"></div> REGISTRATION NUMBER
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